

Quantum mechanics summary

MW 2009-04-27

Classical mechanics

- Describe the state of a particle by its position $x(t)$ and velocity $v(t)$ or momentum $p=mv$ as functions of time t .

- **Hamilton function**=total energy

$$H(x, p) = T + V = \frac{1}{2}mv^2 + V(x) = \frac{p^2}{2m} + V(x) = E$$

- Hamilton equations of motion

$$\dot{x} = \frac{\partial H}{\partial p} \quad , \quad \dot{p} = -\frac{\partial H}{\partial x}$$

- Equivalent to Newtons second law:

$$\dot{x} = \frac{\partial H}{\partial p} = \frac{p}{m} \quad , \quad \dot{p} = -\frac{\partial H}{\partial x} = -\frac{\partial V}{\partial x} = F \quad \Rightarrow \quad ma = F$$

Basic experimental facts about all microscopic systems

- Double slit and diffraction measurements demonstrate wavelike diffraction properties for particles: photons, electrons, neutrons, atoms, molecules, etc
- Photoelectric effect: light consist of particle-like discrete quantities of energy, photons, that obey the **Einstein energy relation**

$$E = hf = \hbar\omega \quad , \quad h = 2\pi\hbar \quad , \quad \omega = 2\pi f$$

This is a basic relation applies to all particles in QM

- Compton effect: photon momentum is

$$p = \hbar k \quad , \quad k = \frac{2\pi}{\lambda} = \text{wavenumber} \quad , \quad \lambda = \text{wavelength}$$

This basic relation associates a **de Broglie wavelength** to any particle

Motivation of Schrödinger equation

- Associate a **wave function** to any particle.
For a free particle assumed to be a plane wave

$$\psi(x, t) = Ae^{i(kx - \omega t)}$$

In the presence of forces the wave function will be more complicated.

- Energy $E\psi = \hbar\omega\psi = i\hbar\frac{\partial}{\partial t}\psi$
- Momentum $p\psi = \hbar k\psi = -i\hbar\frac{\partial}{\partial x}\psi \Rightarrow p^2\psi = \hbar^2 k^2\psi = -\hbar^2\frac{\partial^2}{\partial x^2}\psi$
- Hamiltonian $H\psi = \left(\frac{p^2}{2m} + V(x)\right)\psi = E\psi$
- **Schrödinger equation** $-\frac{\hbar^2}{2m}\frac{\partial^2\psi}{\partial x^2} + V(x)\psi = i\hbar\frac{\partial\psi}{\partial t}$

Probability: Discrete case

- Assume that a discrete random process can produce values $x_1, x_2, x_3, \dots, x_N$ and in a *very large number of observations* x_j is found n_j times.
- The **probability** that an individual measurement of gives the result x_j is defined to be the fraction $P_i = n_i / n$ where $n = \sum_{i=1}^N n_i$ is the total number of observations.
- The **probability distribution** P has two basic properties:

1. Positive definiteness: $P_i \geq 0$

2. Normalization:
$$\sum_{i=1}^N P_i = \frac{n_1}{n} + \dots + \frac{n_N}{n} = \frac{\sum_{i=1}^N n_i}{n} = 1$$

- The average value of all the measured results is given by the **expectation value**

$$\langle x \rangle = \sum_{i=1}^N x_i P_i$$

- The likely spread in the outcomes around this expectation value is called **uncertainty** and is defined as the standard deviation whose square is called the variance

$$(\Delta x)^2 = \sum_{i=1}^N (x_i - \langle x \rangle)^2 P_i = \langle x^2 \rangle - \langle x \rangle^2$$

$$\langle x^2 \rangle = \sum_{i=1}^N x_i^2 P_i$$

Probability: Continuous case

- Consider a random process that can give any real number x as a result of a measurement.
- Divide the range of possible values into finite subintervals, each of length dx .
- Let $P(x)dx$ be the relative frequency of measured results in dx around x .
- In the limit when dx goes to zero, this procedure defines a continuous function $P(x)$ called the **probability density**, and $P(x)dx$ is the **probability** to find a value in dx around x .
- The probability density is positive definite: $P(x) \geq 0$ and normalized: $\int_{-\infty}^{\infty} P(x)dx = 1$
- The **expectation value** of x is given by $\langle x \rangle = \int_{-\infty}^{\infty} xP(x)dx$
- The **uncertainty** Δx is the standard deviation, which is the square root of the variance

$$(\Delta x)^2 = \int_{-\infty}^{\infty} (x - \langle x \rangle)^2 P(x)dx = \langle x^2 \rangle - \langle x \rangle^2 \quad \text{where} \quad \langle x^2 \rangle = \int_{-\infty}^{\infty} x^2 P(x)dx$$

Hermitean operators

- An operator \hat{A} is **Hermitean** if

$$\int \psi^* \hat{A} \varphi dx = \int (\hat{A} \psi)^* \varphi dx \quad \text{for all } \varphi, \psi$$

- The eigenvalue problem $\hat{A} \psi = a \psi$ for Hermitean operators has the following properties
 1. The eigenvalues are real
 2. Eigenfunctions belonging to different eigenvalues are orthogonal

$$\int \varphi^* \psi dx = 0$$

3. The eigenfunctions form a complete set which means that it is possible to express any wave function as a linear superposition of eigenfunctions, which is a generalized Fourier series of the form

$$\Psi = \sum_{n=1,2,3,\dots} c_n \psi_n$$

where the coefficients are complex constants.

Postulates of quantum mechanics

Classical rule	Quantum postulate
The state of a particle is given by its position $x(t)$ and momentum $p(t)$.	The state of a particle is represented by its wave function $\Psi(x, t)$. Position and momentum are represented by Hermitean operators $\hat{x} = x, \hat{p} = -i\hbar \frac{\partial}{\partial x}$
Every dynamical variable is a function of $x(t)$ and $p(t)$: $A = A(x, p)$.	Dynamical variables are represented by Hermitean operators obtained by $\hat{A} = A(\hat{x}, \hat{p})$.
The state variables x, p change in time according to Hamilton's equations: $\dot{x} = \frac{\partial H}{\partial p}, \dot{p} = -\frac{\partial H}{\partial x}$	The state Ψ obeys the time dependent Schrödinger equation $-\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi = i\hbar \frac{\partial \Psi}{\partial t}$ <p>where $\hat{H} = H(\hat{x}, \hat{p})$ is the Hamiltonian, which is a Hermitean operator, and H is the classical Hamilton function.</p>
A measurement of $A(x, p)$ leaves the state $x(t), p(t)$ unchanged.	If the particle is in the state Ψ , then the result of a measurement of A is one of the eigenvalues of A : $\hat{A}\psi_a = a\psi_a$ with probability $P(a) \propto \left \int \psi_a^* \Psi dx \right ^2$ <p>As a result of the measurement the state of the particle changes, or collapses, to ψ_a.</p>

Born probability interpretation and expectation values

- **Probability** of finding a particle in the state Ψ in the interval dx around x

$$P(x,t)dx = |\Psi(x,t)|^2 dx$$

- Normalization such that the probability of finding the particle somewhere is one:

$$\int_{-\infty}^{\infty} P(x,t)dx = \int_{-\infty}^{\infty} |\Psi(x,t)|^2 dx = 1$$

- Position expectation value $\langle x \rangle = \int_{-\infty}^{\infty} xP(x,t)dx = \int_{-\infty}^{\infty} x|\Psi(x,t)|^2 dx$

=average result from measuring the position many times of a particle in that state

- Expectation value of a variable $\langle A \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t)\hat{A}\Psi(x,t)dx$

- Momentum expectation value: $\langle p \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t)\left(-i\hbar\frac{\partial}{\partial x}\right)\Psi(x,t)dx$

Definite observables (opposite to uncertain)

- If the wavefunction is an eigenstate of the operator $\hat{A}\psi_a = a\psi_a$ then A is called **definite**, because repeated measurements of it give the same result a .
- Expectation value of A is

$$\langle A \rangle = \int_{-\infty}^{\infty} \psi_a^* \underbrace{\hat{A}\psi_a}_{=a\psi_a} dx = a \int_{-\infty}^{\infty} \psi_a^* \psi_a dx = a$$

- Uncertainty in A is zero, hence definite:

$$(\Delta A)^2 = \langle A^2 \rangle - \langle A \rangle^2 = \int_{-\infty}^{\infty} \psi_a^* \underbrace{\hat{A}^2 \psi_a}_{=a^2 \psi_a} dx - a^2 = a^2 - a^2 = 0$$

Uncertain variables (opposite to definite)

- If the wavefunction Ψ is NOT an eigenstate of the operator, then $\Delta A > 0$ and A is **uncertain**, and a measurement of A gives some eigenvalue a_i as result.
- Expand in a superposition of ON eigenfunctions to A :

$$\Psi = \sum_i c_i \psi_i \quad , \quad \int_{-\infty}^{\infty} \psi_i^* \Psi dx = \sum_j c_j \underbrace{\int_{-\infty}^{\infty} \psi_i^* \psi_j dx}_{=\delta_{ij}} = c_i$$

- Normalization:

$$\int_{-\infty}^{\infty} |\Psi|^2 dx = \sum_i |c_i|^2 \int_{-\infty}^{\infty} \psi_i^* \psi_i dx = \sum_i |c_i|^2 = 1$$

- Expectation value: $\langle A \rangle = \int_{-\infty}^{\infty} \Psi^* \hat{A} \Psi dx = \sum_i a_i |c_i|^2$

$$P_i = |c_i|^2 = \left| \int_{-\infty}^{\infty} \psi_i^* \Psi dx \right|^2 = \text{probability to measure } a_i$$

- After a measurement that gives the result a_i the wavefunction changes, or collapses, to the corresponding eigenfunction $\hat{A} \psi_a = a \psi_a$ making A **definite**.

Heisenberg uncertainty relation

- Position-momentum uncertainty relation:

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

- Example of a minimum uncertainty wave function is the Gaussian wave function:

$$\Psi(x) = \frac{1}{\sqrt{\sigma} \sqrt{\pi}} e^{-x^2/2\sigma^2}$$

$$\langle x \rangle = \langle p \rangle = 0 \quad , \quad \langle x^2 \rangle = \frac{\sigma^2}{2} \quad , \quad \langle p^2 \rangle = \frac{\hbar^2}{2\sigma^2}$$

$$\Delta x \Delta p = \frac{\hbar}{2}$$

Time evolution of stationary states

- **Energy eigenstates** $H\psi_E = E\psi_E$
- Time dependence of product state

$$\Psi(x, t) = \psi_E(x)T(t) \Rightarrow$$

$$\frac{1}{\psi_E} \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V \right) \psi_E = E = i\hbar \frac{1}{T} \frac{\partial T}{\partial t} \Rightarrow$$

$$T(t) = e^{-iEt/\hbar} \Rightarrow$$

$$\Psi(x, t) = \psi_E(x)e^{-iEt/\hbar}$$

- Energy eigenstates are **stationary states**, since the probability density is time independent:

$$P(x, t) = |\Psi(x, t)|^2 = |\psi_E(x)|^2$$

Time evolution of nonstationary states

- Expand as superpositions of stationary states.

- Example:

$$\Psi(x, t) = \frac{1}{\sqrt{2}} \psi_{E_1}(x) e^{-iE_1 t / \hbar} + \frac{1}{\sqrt{2}} \psi_{E_2}(x) e^{-iE_2 t / \hbar}$$

$$P(x, t) = \frac{1}{2} \left| \psi_{E_1}(x) e^{-iE_1 t / \hbar} + \psi_{E_2}(x) e^{-iE_2 t / \hbar} \right|^2 =$$

$$= \frac{1}{2} \left[\left| \psi_{E_1}(x) \right|^2 + \left| \psi_{E_2}(x) \right|^2 + 2 \operatorname{Re} \left(e^{i(E_1 - E_2)t / \hbar} \right) \right] =$$

$$= \frac{1}{2} \left[\left| \psi_{E_1}(x) \right|^2 + \left| \psi_{E_2}(x) \right|^2 + 2 \cos(E_1 - E_2)t / \hbar \right]$$

- From this we identify the uncertainty in time as the decay time of the initial state:

$$(E_1 - E_2) \Delta t / \hbar \approx 1 \Rightarrow \Delta E \Delta t \approx \hbar \quad , \quad \Delta E \approx E_1 - E_2$$

- In accordance with the **energy-time uncertainty relation**

$$\Delta E \Delta t \approx \hbar$$

Current and continuity equation

- Continuity equation

$$\frac{\partial P}{\partial t} + \frac{\partial j}{\partial x} = 0$$

$$\frac{\partial P}{\partial t} + \nabla \cdot \vec{j} = 0$$

- Probability density

$$P(x, t) = |\Psi(x, t)|^2$$

- Probability current density

$$j = \frac{\hbar}{2mi} \left[\Psi^* \frac{\partial \Psi}{\partial x} - \Psi \frac{\partial \Psi^*}{\partial x} \right]$$

$$\vec{j} = \frac{\hbar}{2mi} \left[\Psi^* \nabla \Psi - \Psi \nabla \Psi^* \right]$$

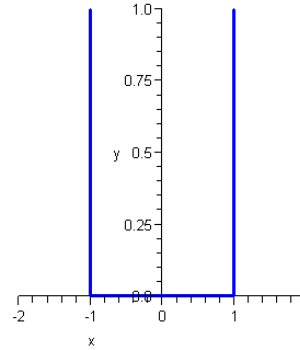
Ehrenfests theorem

- The quantum expectation values obey classical equations of motion, which explain the origin of classical mechanics:

$$m \frac{d\langle x \rangle}{dt} = \langle p \rangle \quad , \quad \frac{d\langle p \rangle}{dt} = \left\langle -\frac{dV}{dx} \right\rangle$$

- These relations apply only for expectation values, not for the operators themselves.

Square well



$$V(x) = \begin{cases} \infty, & x < 0 \\ 0, & 0 < x < L \\ \infty, & L < x \end{cases}$$

$$0 < x < L \Rightarrow -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} = E \psi \Rightarrow \psi(x) = A \sin kx + B \cos kx$$

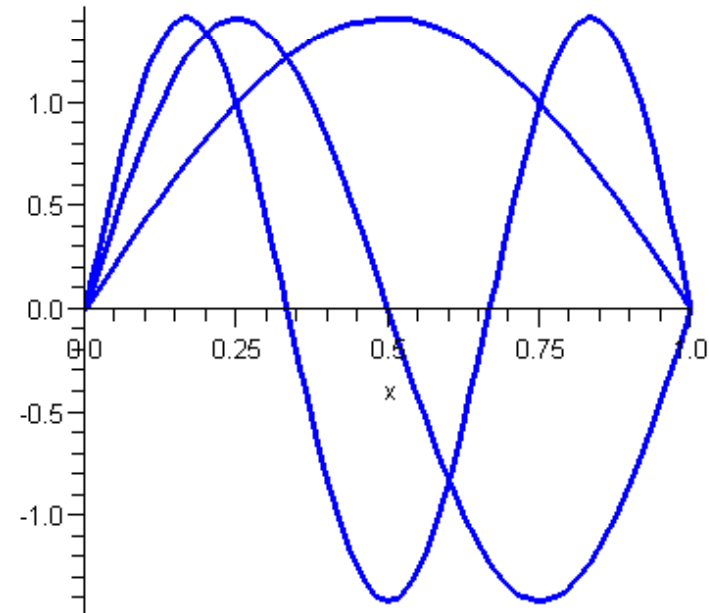
Continuity requirements at endpoints:

$$\psi(0) = 0 \Rightarrow B = 0$$

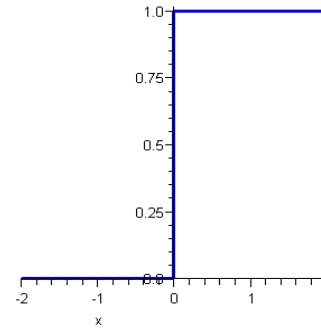
$$\psi(L) = 0 \Rightarrow kL = n\pi, \quad n = 1, 2, \dots$$

$$\Rightarrow \psi(x) = \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L}$$

$$E_n = \frac{\hbar^2 k_n^2}{2m} = \frac{\hbar^2}{2m} \left(\frac{n\pi}{L} \right)^2$$



Reflection against a potential step



$$V(x) = \begin{cases} 0 & , \quad x < 0 \\ V_0 & , \quad x > 0 \end{cases}$$

1. Assume $E < V_0$ Schrödinger equation

$$x < 0: -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} = E\psi \Rightarrow \psi(x) = Ae^{ikx} + Be^{-ikx}$$

$$x > 0: -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V_0\psi = E\psi \Rightarrow \psi(x) = Ce^{-\kappa x}$$

$$E = \frac{\hbar^2 k^2}{2m} = -\frac{\hbar^2 \kappa^2}{2m} + V_0 \Rightarrow k = \frac{\sqrt{2mE}}{\hbar}, \quad \kappa = \frac{\sqrt{2m(V_0 - E)}}{\hbar}$$

- Determines penetration depth $1/\kappa$ into classically forbidden region $x > 0$
- The amplitudes can be determined from the incoming amplitude :

continuity of $\psi(x=0) \Rightarrow A + B = C$

continuity of $\frac{d\psi(x=0)}{dx} \Rightarrow ikA - ikB = -\kappa C$

2. Assume $E > V_0$ Solution is formally the same: $\kappa = \frac{\sqrt{2m(V_0 - E)}}{\hbar} = i \frac{\sqrt{2m(E - V_0)}}{\hbar}$
but turns into a plane wave for $x > 0$

Tunneling

- Assume $E < V_0$ Schrödinger equation

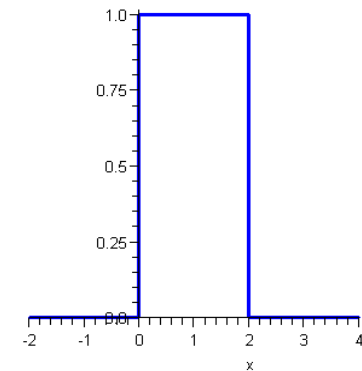
$$x < 0: \quad -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} = E\psi \quad \Rightarrow \psi(x) = Ae^{ikx} + Be^{-ikx}$$

$$0 < x < L: \quad -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V_0\psi = E\psi \Rightarrow \psi(x) = Ce^{-\kappa x} + De^{\kappa x}$$

$$L < x: \quad \psi(x) = Fe^{ikx}$$

$$E = \frac{\hbar^2 k^2}{2m} = -\frac{\hbar^2 \kappa^2}{2m} + V_0 \Rightarrow k = \frac{\sqrt{2mE}}{\hbar}, \quad \kappa = \frac{\sqrt{2m(V_0 - E)}}{\hbar}$$

$$V(x) = \begin{cases} 0 & , x < 0 \\ V_0 & , 0 < x < L \\ 0 & , L < x \end{cases}$$



which determines the penetration depth $1/\kappa$

- Reflection and transmission probabilities: $R = \left| \frac{B}{A} \right|^2$, $T = \left| \frac{F}{A} \right|^2$, $T + R = 1$

- Continuity at $x = 0 \Rightarrow A + B = C + D$ $ikA - ikB = \kappa C - \kappa D$

- Continuity at $x = L \Rightarrow Ae^{ikL} + Be^{-ikL} = Ce^{-\kappa L} + De^{\kappa L}$

$$ikAe^{ikL} - ikBe^{-ikL} = -\kappa Ce^{-\kappa L} + \kappa De^{\kappa L}$$

- These equations can be solved to give R, T

- For a wide barrier such that $e^{-2\kappa L} \ll 1$ $D \approx 0$ which gives $T \approx \frac{16E(V_0 - E)}{V_0^2} e^{-2\kappa L}$

- This explains the exponential dependence of the tunnelling current in Scanning Tunneling Microscopy (STM).

Harmonic oscillator

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + \frac{1}{2} m \omega^2 x^2 \psi = i\hbar \frac{\partial \psi}{\partial t}$$

$$\omega^2 = \frac{k}{m}$$

$$E_n = \left(n + \frac{1}{2}\right) \hbar \omega \quad , \quad n = 0, 1, 2, \dots$$

$$\psi_0(x) = \left(\frac{1}{a\sqrt{\pi}}\right)^{1/2} e^{-x^2/2a^2} \quad , \quad a^2 = \frac{\hbar}{m\omega}$$

- The ground state is a minimum uncertainty wavefunction.

Angular momentum

$$\hat{L} = \hat{r} \times \hat{p} = -i\hbar \bar{r} \times \nabla$$

$$\hat{L}^2 Y_l^m(\theta, \phi) = l(l+1)\hbar^2 Y_l^m(\theta, \phi)$$

$$\hat{L}_z Y_l^m(\theta, \phi) = m\hbar Y_l^m(\theta, \phi)$$

$$l = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$$

$$m = -l, -l+1, \dots, l-1, l$$

$$\int_0^\pi \sin \theta d\theta \int_0^{2\pi} d\phi |Y_l^m|^2 = 1$$

Hydrogen atom

- Hydrogen: one electron with charge $-e$ and mass m bound to one proton with charge $+e$:

$$-\frac{\hbar^2}{2m} \nabla^2 \psi - \frac{e^2}{4\pi\epsilon_0 r} \psi = E \psi$$

$$\psi(r, \theta, \phi) = R(r) Y_l^m(\theta, \phi)$$

$$-\frac{\hbar^2}{2mr} \frac{d^2(rR)}{dr^2} + \left[\frac{l(l+1)\hbar^2}{2mr^2} + V(r) \right] R = ER$$

$$R(r) = \frac{u(r)}{r}$$

$$\int_0^\infty r^2 |R|^2 dr = \int_0^\infty |u|^2 dr = 1$$

$$E_n = -\frac{E_R}{n^2}, \quad n = 1, 2, 3, \dots, \quad E_R = \frac{e^4 m}{2(4\pi\epsilon_0)^2 \hbar^2} = 13.6 \text{ eV}$$

$$u_{n=0,l} = \frac{2}{\sqrt{a_0}} \left(\frac{r}{a_0} \right) e^{-r/a_0}, \quad a_0 = \frac{4\pi\epsilon_0 \hbar^2}{e^2 m}$$

Hydrogen like atom

- One electron with charge $-e$ bound to one proton with charge Ze , performing relative motion with effective mass : $\mu = \frac{mM}{m+M}$

$$-\frac{\hbar^2}{2\mu} \nabla^2 \psi - \frac{Ze^2}{4\pi\epsilon_0 r} \psi = E \psi$$

$$E_n = -\frac{Z^2 e^4 \mu}{2(4\pi\epsilon_0)^2 \hbar^2 n^2} , \quad n = 1, 2, 3, \dots$$

$$u_{n=0,l} = \frac{2}{\sqrt{a_0}} \left(\frac{r}{a_0} \right) e^{-r/a_0}$$

$$a_0 = \frac{4\pi\epsilon_0 \hbar^2}{Ze^2 \mu}$$